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Attorney Docket No. YOR920030580US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Patent Application**

Applicant(s): P.M. Dantzig et al.  
Docket No.: YOR920030580US1  
Serial No.: 10/789,087  
Filing Date: February 27, 2004  
Group: 2155  
Examiner: To Be Assigned  
  
Title: Method and Apparatus for Hierarchical Selective Personalization

I hereby certify that this paper is being deposited on this date with the U.S. Postal Service as first class mail addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature: Date: October 27, 2004

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants' attorney wishes to bring to the attention of the Patent and Trademark Office the following documents listed on the accompanying Form PTO-1449. Copies of the listed documents are enclosed, other than the published U.S. patent document, with the search report that listed these documents.

**U.S. Patent Documents**

1. U.S. Patent Application Publication No. US 2002/0198961 A1 published on 12/26/02 to Krishnamurthy et al.

**Other Documents**

1. T.F. Abdelzaher et al., "Web Server QoS Management by Adaptive Content Delivery," XP-002157975, pp. 216-225, May 1999.

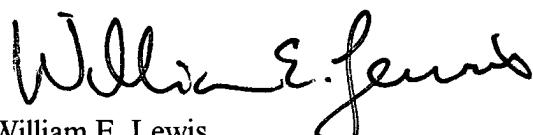
2. D-G. Lee et al., "Addressing Server Latency and Capacity to Enable Fast and Affordable Wireless Image Data Services," XP-002300631, 8 pages, 2003.

3. W. Shi et al., "Workload Characterization of a Personalized Web Site — And Its Implications for Dynamic Content Caching," Technical Report: TR2002-829, XP-002300632, pp. 1-17, 2002.

The undersigned certifies in accordance with 37 C.F.R. §1.97(e)(1) that each item listed above was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement. It is believed that there is no fee due in conjunction with the filing of this Supplemental Information Disclosure Statement. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **International Business Machines Corporation Deposit Account No. 50-0510** as required to correct the error.

The filing of this Supplemental Information Disclosure Statement shall not be construed as a representation that a search has been made, or as an admission that the information cited is considered to be material to patentability, or as a representation that no other material information exists.

Respectfully submitted,



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Date: October 27, 2004

**FORM PTO-1449 (MODIFIED)****LIST OF PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT**

Applicant(s): P.M. Dantzig et al.  
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**U.S. PATENT DOCUMENTS**

<u>EXAMINER</u>	<u>DOCUMENT NO.</u>	<u>DATE</u>	<u>NAME</u>	<u>CLASS/SUBCLASS</u>	<u>FILING DATE</u>	<u>IF APPROPRIATE</u>
—	2002/0198961 A1	12/26/02	Krishnamurthy et al.			

**FOREIGN PATENT DOCUMENTS**

<u>EXAMINER</u>	<u>DOCUMENT NO.</u>	<u>DATE</u>	<u>COUNTRY</u>	<u>CLASS/SUBCLASS</u>	<u>TRANSLATION</u>
—					YES      NO

**OTHER DOCUMENTS**

<u>EXAMINER</u>	<u>REF NO.</u>	<u>AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.</u>
—		

- 1. T.F. Abdelzaher et al., "Web Server QoS Management by Adaptive Content Delivery," XP-002157975, pp. 216-225, May 1999.
- 2. D-G. Lee et al., "Addressing Server Latency and Capacity to Enable Fast and Affordable Wireless Image Data Services," XP-002300631, 8 pages, 2003.
- 3. W. Shi et al., "Workload Characterization of a Personalized Web Site — And Its Implications for Dynamic Content Caching," Technical Report: TR2002-829, XP-002300632, pp. 1-17, 2002.

Examiner

Date Considered

**Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.